



SS 10/20 #5 Receipt

PATENT  
Attorney Docket No. 3070

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lucovsky et al.

Group Art Unit: 2176

Serial No. 10/017,680

Examiner: Unassigned

Filed: October 22, 2001

For: Schema-Based Services for Identity  
Based Data Access

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the Patent Office in the above-identified application. Issuance of a corrected filing receipt is respectfully requested.

Applicants submit that there is an error with respect to the complete list of applicants on the filing receipt. **Yun-Qi Yuan**, with a residence of **Redmond, WA**, should also be listed on the filing receipt as an inventor. A copy of the filing receipt with this correction in red ink is enclosed herewith. The request for correction is not due to any error on the applicants' part and no fees are due.

Respectfully submitted,

By Albert S. Michalik  
Albert S. Michalik, Reg. No. 37,395  
Attorney for Applicant(s)  
704 - 228th Ave. N.E., Ste. 193  
Sammamish, WA 98074  
(425) 836-3030



CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this REQUEST FOR CORRECTED FILING RECEIPT is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below:

Date: October 17, 2002

  
Albert S. Michalik



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/017,680	10/22/2001	2176	924	3070	25	23	3

MICHALIK & WYLIE PLLC  
Suite 103  
14645 Bel-Red Road  
Bellevue, WA 98007



CONFIRMATION NO. 9548  
UPDATED FILING RECEIPT



\*OC000000008483467\*

Date Mailed: 07/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- / Mark H. Lucovsky, Sammamish, WA; /
- / Shaun Douglas Pierce, Sammamish, WA; /
- / Steven D. White, Bellevue, WA; /
- / Ramu Movva, Issaquah, WA; /
- / Jagadeesh Kalki, Redmond, WA; /
- / David Benjamin Auerbach, Seattle, WA; /
- / Peter Sewall Ford, Carnation, WA; /
- / Jay Christopher Jacobs, Danville, CA; /
- / Paul Andrew Steckler, Redmond, WA; /
- / Walter C. Hsueh, San Jose, CA; /
- / Kendall D. Keil, Bothell, WA; /
- / Burra Gopal, Redmond, WA; /
- / Suresh Kannan, Redmond, WA; /
- / Yi-Wen Guu, Bellevue, WA; /
- / Samuel John George, San Mateo, CA; /
- / William Raymond Hoffman, Berkeley, CA; /
- / Philip Michael Smoot, San Francisco, CA; /
- / Lijiang Fang, Sammamish, WA; /
- / Michael B. Taylor, Seattle, WA; /
- / Winnie C. Wu, Bellevue, WA; /
- / Paul J. Leach, Seattle, WA; /
- / Richard B. Ward, Redmond, WA; /
- / Yun-Qi Yuan, Redmond, WA; /

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/275,809 03/14/2001

**Foreign Applications**

**If Required, Foreign Filing License Granted 01/22/2002**

**Projected Publication Date: 10/31/2002**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Schema-based services for identity-based data access

**Preliminary Class**

707

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).